

ARRIS International, plc (ARRIS) Supplier Code of Conduct Policy

The relationship between ARRIS International, plc including its worldwide subsidiaries (ARRIS) and its suppliers is a vital part of business success. The ARRIS supplier Code of Conduct, sets forth the standards and practices that apply to all ARRIS suppliers.

As a full member of the Responsible Business Alliance (RBA, formerly EICC), ARRIS is committed to supporting the [RBA Code of Conduct](#) and as such its suppliers must conform to these requirements and encourage their sub-suppliers to conform as well.

The RBA Code of Conduct establishes standards to ensure that working conditions in the electronics industry supply chain are safe, that workers are treated with respect and dignity, and that business operations are environmentally responsible. Fundamental to the Code is the understanding that a business, in all of its activities, must operate in full compliance with the laws, rules and regulations of the countries in which it operates.

The ARRIS Supplier Code of Conduct consists of the RBA Code of Conduct which contains provisions to address performance in the following areas,

1. Labor,
2. Health and Safety,
3. Environmental,
4. Ethics,
5. Management System,

with additional ARRIS specific requirements detailed below:

Additional Requirements

1. **Freely Chosen Employment** - To the extent applicable, suppliers will comply with CA SB 657, California Transparency in Supply Chains Act of 2010, and UK Modern Slavery Act, regarding reporting of efforts to eradicate slavery and human trafficking from their supply chains and respond to ARRIS's inquiries regarding such acts.
2. **Product Content Restrictions** - Suppliers will adhere to all applicable laws, regulations and customer requirements regarding prohibition or restriction of specific substances, including labeling for recycling and disposal and ARRIS material requirements as set forth in ARRIS Controlled and Reportable Materials Disclosure requirements, found at URL: <http://corporateresponsibility.arrisi.com> (or any

replacement website provided by ARRIS for this content). In addition, suppliers will certify to ARRIS on an annual basis that suppliers' products are not manufactured with, or come into contact with ozone depleting chemicals (ODC) as set out in the Supplier ODC form, found at URL: <http://corporateresponsibility.arrisi.com> (or any replacement website provided by ARRIS for this content)

3. **Responsible Sourcing of Minerals** - ARRIS expects full compliance with the Dodd-Frank Wall Street Reform and Consumer Protection Act's (<http://www.sec.gov/about/laws/wallstreetreform-cpa.pdf>) provisions and corresponding enabling regulations regarding conflict minerals, as defined therein, including regulations promulgated by the Securities Exchange Commission, suppliers will exercise due diligence to comply with, and to demonstrate compliance with, the Act, and regarding the source and chain of custody of these minerals. Suppliers will make their due diligence measures available to ARRIS upon ARRIS's request and will respond to ARRIS's request for compliance information in a timely manner. Suppliers will have a policy to assure compliance with this section.
4. **Supplier Assessments & Resolution** - Formal assessment, monitoring and ongoing resolution of supplier's conformance with this Code of Conduct is critical for advancing social, environmental responsibility, business ethics and complying with industry standard RBA requirements. Upon request, suppliers will periodically be required to complete surveys and/or assessments on corporate social responsibility performance. These could include but are not limited to the RBA Self-Assessment Questionnaire (SAQ) or third party assessments performed on behalf of ARRIS. Failure to comply with this code will result in an escalation process that could end in termination of business with the supplier.

A handwritten signature in black ink, appearing to read "B. McClelland".

Bruce McClelland
ARRIS CEO
February 2018